

Synergic Effect of Robot-Assisted Rehabilitation and Antispasticity Therapy

Subjects: [Clinical Neurology](#)

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Stroke and spinal cord injury are neurological disorders that cause disability and exert tremendous social and economic effects. Robot-assisted training (RAT), which may reduce spasticity, is widely applied in neurorehabilitation. The combined effects of RAT and antispasticity therapies, such as botulinum toxin A injection therapy, on functional recovery remain unclear. Combined therapy improves functional recovery in the lower limbs but does not reduce spasticity in the upper or lower limbs.

stroke

spinal cord injury

robotic-assisted therapy

antispasticity therapy

1. Introduction

Stroke and spinal cord injury (SCI) are common diagnoses among patients receiving inpatient rehabilitation and often limit such patients' abilities to perform activities of daily living. Most patients with stroke or SCI experience functional impairment, spasticity, and motor clumsiness ^[1], and spasticity and functional impairment are the primary reason for rehabilitative intervention in the chronic stages of recovery ^{[2][3][4]}. A major line of inquiry in rehabilitation sciences is the development of therapies optimized for managing spasticity and functional impairment in such patients.

Spasticity is a motor disorder that manifests as an increase in tonic stretch reflexes caused by hyperexcitability of the stretch reflex in patients with upper motor neuron disorders, such as stroke and SCI ^[5]. Spasticity occurs in 4–27% and 17–46% of patients with stroke within the first month and first 3 months after stroke, respectively, and in 70% of patients with SCI ^{[6][7][8][9]}. Spasticity limits the performance of daily activities, social participation, and quality of life ^[10]. Controlling spasticity is essential to alleviating its resulting functional impacts ^{[11][12]}. Therefore, a major line of inquiry in rehabilitation sciences is the development of therapies optimized for managing spasticity.

In recent 10 years, robot-assisted training (RAT) has been applied for improving impaired motor function in patients with neurological disorders. RAT is superior to conventional therapies for neurorehabilitation in both the upper ^{[13][14]} and lower limbs ^[15] because it integrates high-intensity, repetitive, and task-specific training. It can also measure a patient's performance with high reliability and accuracy ^[16]. However, despite the evident utility of RAT, whether a combination of RAT and other therapies can yield a synergic effect remains unclear.

2. Risk-of-Bias Assessment

The risk of bias in the included studies was assessed using the modified Jadad scale (**Table 1**). The scores of the included studies ranged from 3 to 5.5, indicating a considerable risk of bias. The methods of randomization and blinding were the major causes of the high risk of bias.

3. Features of Included Studies

Table 1 summarizes the characteristics of the included studies. All the eligible studies were RCTs published between 2015 and 2019. Four of the studies enrolled patients with stroke, and one enrolled patients with SCI. Two of the studies (Gandolfi et al., 2019 [17] and Pennati et al., 2015 [18]), both of which enrolled patients with stroke, assessed motor function of the upper limbs. The other three studies, among which two (Picelli et al., 2016 [19] and Erbil et al., 2018 [20]) enrolled patients with stroke and one (Duffell et al., 2015 [21]) enrolled patients with SCI, assessed the motor function of the lower limbs. Each of the included studies enrolled 15 to 48 patients, and 29% to 40% of each sample consisted of female patients. The mean ages of the patients ranged from 46.5 to 65.1 years. The mean intervals between disease onset and enrollment ranged from 6 months to 20 years. The number of RAT sessions ranged from 10 to 15, and the intervention durations ranged from 5 days to 5 weeks. The mean follow-up intervals ranged from 0 days (immediately after treatment) to 12 weeks.

Table 1. Demographic data of patients in included studies.

Author, Year	Disease	Limbs of Evaluation	Total No. of Patients (F/M)	Group	No. of Patients (F/M)	Mean Age, Year (SD)	Time since Injury (SD)
Gandolfi et al., 2019 [17]	Stroke	UL	32 (10/22)	Control	16 (6/10)	59.13 (14.97)	5.1 yr (2.2 yr)
				Intervention	16 (4/12)	59.31 (14.40)	6.0 yr (3.1 yr)
Pennati et al., 2015 [18]	Stroke	UL	15 (6/9)	Control	8 (NA)	NA	>6 mo, (10 mo to 20 yr)
				Intervention	7 (NA)	NA	>6 mo, (10 mo to 20 yr)
Picelli et al., 2016 [19]	Stroke	LL	22 (6/16)	Control	11 (4/7)	65.1 (3.4)	6.1 yr (3.8 yr)
				Intervention	11 (2/9)	62.4 (9.5)	6.2 yr (4.2 yr)
Erbil et al., 2018	Stroke	LL	43 (16/27)	Control	14 (3/11)	48.7 (10.4)	25.9 mo (24.6 mo)

4. Li, S. Spasticity, Motor Recovery, and Neural Plasticity after Stroke. *Front. Neurol.* 2017, 8, 120.

Author, Year	Disease	Limbs of Evaluation	Total No. of Patients (F/M)	Group	No. of Patients (F/M)	Mean Age, Year (SD)	Time since Injury (SD)	Outcome
[20]				Intervention	29 (13/16)	50.1 (11.8)	39 mo (34.3 mo)	Improvement in upper limb function
Duffell et al., 2015 [21]	SCI	LL	48 (14/34)	Control	26 (7/19)	46.6 (12.6)	9.3 yr (8.9 yr)	No significant difference in upper limb function
				Intervention	22 (7/15)	46.5 (11.9)	10.2 yr (10.47 yr)	Improvement in upper limb function

9. Wissel, J.; Schelosky, L.D.; Scott, J.; Christe, W.; Faiss, J.H.; Mueller, J. Early development of spasticity following stroke: A prospective, observational trial. *J. Neurol.* 2010, 257, 1067–1072.

4. Intervention Protocols

10. Schinwelski, M.; Slawek, J. Prevalence of spasticity following stroke and its impact on quality of life with emphasis on disability in activities of daily living: Systematic review. *Neurol. Neurochir. Pol.* 2010, 44, 404–411.
- The literature on this score displayed in the included studies involved upper limb interventions that by Carabli et al., who recruited 32 patients and compared BoNT + RAT with BoNT + physical therapy, and that by Pennati et al., who recruited 15 patients and compared BoNT + RAT with RAT alone.
11. Adams, M.M.; Hicks, A.L. Spasticity after spinal cord injury. *Spinal Cord* 2005, 43, 577–586.
12. Thibaut, A.; Chatelle, C.; Ziegler, F.; Bruno, M.A.; Laureys, S.; Gosseries, O. Spasticity after stroke: Physiology, assessment and treatment. *Brain Inj.* 2013, 27, 1093–1105.
13. Zhao, M.; Wang, G.; Wang, A.; Cheng, L.J.; Lau, Y. Robot-assisted distal training improves upper limb dexterity and function after stroke: A systematic review and meta-regression. *Neurol. Sci.* 2021, 142, 1541–1551.

5. Primary Outcome: Functional Recovery

14. Mehrholz, J.; Pohl, M.; Platz, T.; Kugler, J.; Elsner, B. Electromechanical and robot-assisted arm training for improving activities of daily living, arm function, and arm muscle strength after stroke. *Cochrane Database Syst. Rev.* 2015, 2015, Cd006876.
- In the two studies, the evaluated functional improvement in the upper limbs, the FMA, MRC, and B&B were used to measure the outcomes. Pennati et al. reported that the FMA and B&B scores of the patients who received RAT alone improved significantly more than did those of the patients who received combined therapy (BoNT + RAT).
15. Kim, H.Y.; Shin, J.H.; Yang, S.B.; Shin, M.; Lee, S.H. Robot-assisted gait training for balance and lower extremity function in patients with infratentorial stroke: A single-blinded randomized controlled trial. *J. Neuroeng. Rehabil.* 2019, 16, 99.
16. Dobkin, B.H. Strategies for stroke rehabilitation. *Lancet Neurol.* 2004, 3, 528–536.
- In the three studies that assessed functional improvement in the lower limbs, the outcomes were measured using the Gandolfi BS, VAS, Dimitrov, FKT, Mazzeoni, S, Battina, E, Filippetti, M, Picelli, A, Santamato, A, Graybiel, M, Saturni, P, et al. Effectiveness of Robot-Assisted Upper Limb Training on Spasticity, Function, and Muscle Activity in Chronic Stroke Patients Treated With Botulinum Toxin: A Randomized Single-Blinded Controlled Trial. *Front. Neurol.* 2019, 10, 41.
17. Gandolfi, B.S.; Valè, N.; Dimitrov, F.K.; Mazzeoni, S.; Battina, E.; Filippetti, M.; Picelli, A.; Santamato, A.; Graybiel, M.; Saturni, P., et al. Effectiveness of Robot-Assisted Upper Limb Training on Spasticity, Function, and Muscle Activity in Chronic Stroke Patients Treated With Botulinum Toxin: A Randomized Single-Blinded Controlled Trial. *Front. Neurol.* 2019, 10, 41.
18. Pennati, G.V.; Da Re, C.; Messineo, I.; Bonaiuti, D. How could robotic training and botulinum toxin be combined in chronic post stroke upper limb spasticity? A pilot study. *Eur. J. Phys. Rehabil. Med.* 2015, 51, 381–387.

6. Secondary Outcome: Spasticity

19. Picelli, A.; Barbato, M.C.; Barthelemy, E.; Lissoni, E.; Verzini, E.; Ferrari, F.; Pontillo, A.; Corradi, J.; Tamburini, S.; Saltuari, L.; et al. Combined effects of robot-assisted gait training and botulinum toxin type A on spastic equinus foot in patients with chronic stroke: A pilot, single blind, randomized controlled trial. *Eur. J. Phys. Rehabil. Med.* 2016, **52**, 759–766.

The secondary outcome was spasticity reduction. To determine whether the patients who received combined therapy experienced greater reductions in spasticity than those who received other interventions, researchers analyzed the spasticity assessment results in the included reports. Four of the studies used the MAS, two used the Tardieu Scale, and one (Duffell et al.) did not report spasticity. The two studies that assessed spasticity by using the MAS focused on the upper limbs. Pehntati et al. reported that the MAS scores of the patients who received combined therapy (BoNT + RAT) decreased more than those of the controls; however, Gandolfi et al. did not report

20. Erbil, D.; Tugba, G.; Murat, T.H.; Melike, A.; Merve, A.; Cagla, K.; Mehmetali, C.C.; Akay, O.; Nigar, D. Effects of robot-assisted gait training in chronic stroke patients treated by botulinum toxin-a: A pivotal study. *Physiother. Res. Int.* 2018, **23**, e1718.

21. Duffell, L.S.D.; Brown, G.L.; Mirbagheri, M.M. Facilitatory effects of anti-spastic medication on robotic locomotor training in people with chronic incomplete spinal cord injury. *J. Neuroeng. Rehabil.* 2015, **12**, 29.

Two of the studies that focused on the lower limbs (Picelli et al. and Erbil et al.) adopted the MAS and Tardieu Scale to evaluate spasticity. In these two studies, the scores of the MAS or Tardieu Scales of the patients in the

combined therapy groups did not decrease more than those of the controls.

7. Power Analysis and Effect Size

Only two of the included studies reported effect sizes: that by Gandolfi et al., in which the effect size ranged from -0.02 to 0.49, and that by Picelli et al., in which the effect size ranged from 0.07 to 0.47. However, the methods employed for effect size estimation in these studies were not delineated. These studies also performed power analysis, which revealed that their sample sizes were sufficient for avoiding type II errors. Because the other three studies did not report their effect sizes and did not perform power analysis, the effectiveness of the interventions evaluated therein could not be adequately inferred, and their results could have been a result of inadequate sample size.