

# Chronic Respiratory Disease

Subjects: [Respiratory System](#)

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Chronic respiratory diseases are major contributors to the global burden of disease. Chronic respiratory diseases are pathologies of the airways and respiratory tract, for example, asthma, chronic obstructive pulmonary disease (COPD), and bronchiectasis.

treatable trait

chronic respiratory disease

asthma

COPD

bronchiectasis

individualised therapy

## 1. Introduction

While these approaches are largely effective in chronic-disease control, it is recognised that patients with heterogenous diseases consisting of multiple phenotypes, like asthma, do not respond equally to the same type of treatment and have differing risks of exacerbation and prognosis <sup>[1]</sup>. Hence, a relatively recent treatment strategy proposed to tackle these limitations is a “treatable traits” approach.

The “treatable traits” approach involves treating specific traits possessed by patients, defined by specific trait markers, which are subsequently targeted by specific therapies. This differs from the current broad, stepwise treatment of the disease entity, such as the Global Initiative for Asthma guidelines, which has been shown to be limited in its ability to predict exacerbations when used by itself <sup>[1]</sup>. Multidimensional assessment incorporating treatable traits has the potential to improve care and provide more individualised therapy, allowing management principles to be tailored to each patient’s characteristics or traits <sup>[2]</sup>.

## 2. Treatable Traits in Chronic Respiratory Disease

### 2.1. Physiological Traits

For physiological treatable traits (**Table 1**), these were studied mostly in the setting of asthma, COPD, and bronchiectasis. These studies described traits including airflow limitation, hypoxemia/hypercapnia, lung hyperinflation, and ciliary dysfunction.

**Table 1.** Overview of physiological treatable traits.

| Condition              | Treatable Trait       | Trait-Identification Marker   | Average Prevalence    | Treatment Description and Benefits  | Prognostic Implications  | Author (Year)   |
|------------------------|-----------------------|---|-----------------------|---|--|---|
| Asthma                 | Airway limitation     | Post-bronchodilator FEV1/FVC < 0.7<br>FEV1 < 80% predicted  | 52.5%<br>(45.5–54.5%) | LAMA: ↑ Lung function, exacerbations<br>Bronchial Thermoplasty: ↑ control, ↑ QoL, ↓ exacerbations<br>SABA<br>Short-acting anticholinergics: ↓ risk of admission<br>Magnesium: ↓ odds of admission | Patients with poor PEFR response to salbutamol: ↑ airway obstruction, symptom duration and healthcare utilisation<br>↑ exacerbation risk | Hiles (2020) <a href="#">[3]</a><br>Cazzola (2020) <a href="#">[4]</a><br>Connolly (2018) <a href="#">[5]</a><br>Simpson (2018) <a href="#">[6]</a><br>Papaioannou (2018) <a href="#">[7]</a><br>Hinks (2020) <a href="#">[8]</a><br>Martin (2020) <a href="#">[1]</a><br>McDonald (2019) <a href="#">[9]</a> |
| Asthma                 | Hypoxemia/hypercapnia | SpO2 < 90% at rest or during 6 min walk test  | 10.9%                 | Investigation and implementation of domiciliary oxygen therapy and nasal CPAP   | -  | Hiles (2020) <a href="#">[3]</a>  |
| Asthma                 | Lung hyperinflation   | >10% reduction in in inspiratory capacity   | -                     | Systemic Corticosteroids: ↓ of dynamic hyperinflation   | Dynamic hyperinflation ↑ in placebo group  | Meer (2019) <a href="#">[10]</a>  |
| Bronchiectasis         | Airway limitation     | Low nasal NO, electron microscopic abnormalities, abnormal ciliary beating pattern                        | -                     | Inhaled saline, airway clearance, ongoing trial of ENaC inhibition  | -  | Shteinberg (2020) <a href="#">[11]</a>  |
| Bronchiectasis         | Ciliary dysfunction   | Elevated sweat chloride, characteristic electrophysiological abnormalities, CFTR mutations on two alleles | -                     | CFTR modulators   | -  | Shteinberg (2020) <a href="#">[11]</a>  |
| Chronic airway disease | Airway limitation     | FEV1/FVC < 0.7 and FEV1 < 80% predicted   | -                     | LAMA<br>LABA-ICS: Significant functional and  | -  | Llano (2020) <a href="#">[12]</a>   |

| Condition   | Treatable Trait       | Trait-Identification Marker                            | Average Prevalence | Treatment Description and Benefits   | Prognostic Implications  | Author (Year)   |
|-------------|-----------------------|--|--------------------|--|--------------------------|---|
|             |                       |  |                    | symptomatic improvement, Pulmonary rehabilitation  |                          |   |
| COPD        | Airway limitation     | Post-bronchodilator FER < 70% and FEV1 < 80% predicted | 88.9%              | LAMA, LABA-ICS, Pulmonary rehabilitation   | -                        | Hiles (2020) [3]<br>Llano (2020) [12]                         |
| COPD        | Hypoxemia/hypercapnia | PO2/PCO2   | 38.9%              | Oxygen, NIV  | Marker of poor prognosis | Llano (2020) [12]<br>Gonçaves (2018) [13]<br>Hiles (2020) [3] |
| [3][6] COPD | Lung hyperinflation   | [5][3][6] RV > 175% predicted or RV/TLC ≥ 0.38         | -                  | Endobronchial valves, coils: ↑ in lung function, ↓ dyspnoea, ↑ QoL, ↑ exercise tolerance, ↓ residual volume<br>lung volume reduction surgery<br>Bronchoscopic thermal vapour ablation: ↑ lung function and QoL in upper lobe prominent emphysema [1] | -                        | Dijk (2020) [14]<br>[1]<br>[4][8][7]                          |

long-acting beta-agonists (LABA), inhaled corticosteroids (ICS), and pulmonary rehabilitation have been proposed to treat airflow limitation in COPD [3][12]. Airflow limitation was also described in bronchiectasis, with inhaled saline and airway clearance being the suggested treatment and with an ongoing trial on epithelial sodium channel inhibition [11].

Lung hyperinflation was also a trait targeted in the management of COPD patients, with endobronchial valves and bronchoscopic thermal vapour ablation showing improved lung function and quality of life [14].

Abbreviations: BMI, body mass index; CFTR, cystic fibrosis transmembrane conductance regulator; CPAP,

continuous positive airway pressure; COPD, chronic obstructive pulmonary disease; EnaC, epithelial sodium

channel; FER, forced expiratory ratio; FEV1, forced expiratory volume in the first second; FVC, forced vital

capacity; ICS, inhaled corticosteroids; LABA, long-acting beta-2-agonists; LAMA, long-acting muscarinic

antagonists; NIV, non-invasive ventilation; NO, nitric oxide; PCO2, partial pressure of carbon dioxide; PEF, peak

expiratory flow rate; QoL, quality of life; PO2, partial pressure of oxygen; RV, residual volume; SABA, short-acting

beta-agonists; SpO2, peripheral capillary oxygen saturation; TLC, total lung capacity; ↑, increased/improved, ↓,

decreased/reduced.

Treatment options include bronchodilators containing inhaled corticosteroids (ICS),

which have been shown to be effective for asthma. ICS-LABA and ICS-LABA-LAMA combinations have similarly

been effective for patients with COPD [12]. Anti-T2 biologics have also been shown to significantly reduce the risk of

severe asthma exacerbation and improve lung function and quality of life of patients [15].

**Table 2.** Overview of biochemical treatable traits.

| Condition | Treatable Trait                           | Trait-Identification Marker                      | Prevalence (Range) | Treatment Description  | Prognostic Implications  | Author (Year)   |
|-----------|---|--|--------------------|--|--|---|
| Asthma    | Eosinophilia                              | Blood/Sputum Eosinophilia                        | 54.3% (51.4–56.4%) | Corticosteroids: ↑ FEV1<br>Omalizumab: Significant ↓ in exacerbations and ↑ in CARAT and AQLQ. FEV1 ↑, RV ↓, mean BE ↓<br>Mepolizumab/Anti IL-5<br>Anti IL4/IL-13: High FeNO responds to anti-IL4/IL13 therapies | Associated with severe asthma, frequent exacerbations, ↓ lung function at baseline | Chung (2019) <sup>[15]</sup><br>Connolly (2018) <sup>[5]</sup><br>Dean (2017) <sup>[16]</sup><br>Feng (2019) <sup>[17]</sup><br>Santos (2018) <sup>[18]</sup><br>Pavord (2020) <sup>[19]</sup><br>Llano (2019) <sup>[20]</sup><br>Papaioannou (2018) <sup>[7]</sup><br>Hiles (2020) <sup>[3]</sup><br>Hinks (2020) <sup>[8]</sup> |
| Asthma    | FeNO                                      | FeNO levels                                      | -                  | FeNO-guided ICS treatment: Improved symptoms, ↑ asthma control, ↓ exacerbations, ↑ QoL   |  | Dean (2017) <sup>[16]</sup><br>Honkoop (2019) <sup>[21]</sup><br>Kuo (2019) <sup>[22]</sup>   |
| Asthma    | Neutrophil elastase/inflammation; CXCR2R2 | Sputum neutrophils ≥ 61%                         | 36.5% (27.3–40%)   | Macrolides: ↓ exacerbation. May result in antibiotic resistance<br>Smoking cessation: ↓ of neutrophilic inflammation, lung-function improvement in asthmatics  | ↑ exacerbation risk  | Connolly (2018) <sup>[5]</sup><br>Dean (2017) <sup>[16]</sup><br>Simpson (2018) <sup>[6]</sup><br>Papaioannou (2018) <sup>[7]</sup><br>Hiles (2020) <sup>[3]</sup><br>Hinks (2020) <sup>[8]</sup><br>McDonald (2019) <sup>[9]</sup>   |
| Asthma    | Paucigranulocytic phenotype               | Neutrophil levels <61% and eosinophil levels <2% | -                  | Macrolides, bronchodilators,   | ↑ risk of moderate-severe acute  | Papaioannou (2018) <sup>[7]</sup>   |

| Condition      | Treatable Trait                           | Trait-Identification Marker     | Prevalence (Range) | Treatment Description   | Prognostic Implications   | Author (Year)  |
|----------------|---|---------------------------------|--------------------|---|---|--|
|                |   |                                 |                    | bronchial thermoplasty  | exacerbations, ↑ all-cause mortality. Higher airflow limitation and dyspnoea present in these patients.   |  |
| Asthma         | Proteins (periostin, galectin-3)          | Sputum galectin-3               | -                  | Anti-IgE therapy (omalizumab)   | -   | Dean (2017) <a href="#">[16]</a>   |
| Asthma         | Type 2 inflammation                       | T2-high expression              | 42.0%              | Salbutamol: Improved bronchodilator response<br>Anti-T2 biologics: Major ↓ in severe exacerbations, small improvement in FEV1, improvement in asthma QoL scores           | Corticosteroid insensitivity and oral corticosteroid dependence in severe patients  | Chung (2019) <a href="#">[15]</a><br>Simpson (2018) <a href="#">[6]</a>        |
| Bronchiectasis | Eosinophilia                              | IL-5, IL-13 and Gro-α in sputum | -                  | ICS, Bronchodilators, macrolides: Treatment showed little difference in clinical parameters between groups  | -   | Shteinburg (2020) <a href="#">[11]</a><br>Shoemark (2019) <a href="#">[23]</a> |
| Bronchiectasis | Neutrophil elastase/inflammation; CXCR2R2 | Sputum neutrophils              | -                  | Neutrophil elastase inhibitor: Significant ↑ in FEV1 and QoL.<br>CXCR2 antagonist: ↓ sputum neutrophils, no difference in exacerbations.<br>Macrolides<br>Corticosteroids | ↑ frequency of exacerbations and more rapid decline in FEV1 in some patients. Disease severity worse with ↑ BSI score, sputum volume, and ↓ predicted FEV1% | Chalmers (2018) <a href="#">[24]</a><br>Shoemark (2019) <a href="#">[23]</a>   |

| Condition              | Treatable Trait                           | Trait-Identification Marker     | Prevalence (Range) | Treatment Description   | Prognostic Implications   | Author (Year)   |
|------------------------|---|---------------------------------|--------------------|---|---|---|
| Chronic airway disease | FeNO                                      | Exhaled CO                      | -                  | Primary prevention  | ↑ acute exacerbations + major public health problem.  | McDonald (2019) [25]  |
| Chronic airway disease | T2-low inflammation                       | Eosinophil <100                 | -                  | Azithromycin, roflumilast, LABA-LAMA  | -   | Llano (2020) [12]   |
| Chronic airway disease | Type 2 inflammation                       | Sputum/blood eosinophilia       | -                  | ICS-LABA, ICS-LABA-LAMA, biologics  | -   | Llano (2020) [12]<br>McDonald (2019) [25]   |
| COPD                   | Eosinophilia                              | Sputum/blood eosinophilia       | 60.1% (22.2–60.1%) | Corticosteroids: Beneficial during exacerbations for patients with eosinophilia<br>Anti-IL5 | ↑ number of moderate exacerbations, risk of future exacerbations. Exacerbations characterised by enhanced airway eosinophilic inflammation; generally milder, with ↓ mortality and ↓ hospital stay. | Garudadri (2018) [26]<br>Gonçalves (2018) [13]<br>Soriano (2018) [27]<br>Müllerová (2018) [28]<br>Müllerová (2018) [29]<br>Hiles (2020) [3]<br>Mathioudakis (2020) [30]<br>Matsunaga (2020) [31]<br>Matthes (2018) [32] |
| COPD                   | Neutrophil elastase/inflammation; CXCR2R2 | Sputum neutrophils > 61%        | 44.4%              | Macrolides  | -   | Hiles (2020) [3]  |
| COPD                   | Proteins (periostin, galectin-3)          | Specific marker                 | [3][32][28]        | Specific therapy  | -   | Llano (2020) [12]   |
| COPD                   | T2-low inflammation                       | Eosinophil < 100                | [17]               | Azithromycin, Roflumilast, LABA-LAMA  | -   | Llano (2020) [12]   |
| COPD                   | Type 2 Inflammation                       | Eosinophil > 300/>100 if on OCS | -                  | ICS-LABA, ICS-LABA-LAMA, Biologics  | -   | Llano (2020) [12]   |

been reported to reduce exacerbations in COPD [32]. Specifically for asthma, omalizumab has been shown to significantly reduce both eosinophilia and exacerbations, with improved lung function and quality of life [18].

Neutrophil-related inflammation was also prominent in patients with asthma, bronchiectasis, and COPD, and it is identified by high sputum neutrophil counts [3]. It was associated with increased exacerbation risk for each of the above conditions [9], and high sputum neutrophil counts predicted more rapid decline in FEV1 in patients with bronchiectasis. Macrolides were shown to reduce exacerbations in asthma and COPD, while smoking cessation was additionally recommended for asthma patients. Neutrophil elastase inhibitors were also shown to improve lung function and quality of life for patients with bronchiectasis [24].

| Condition               | Treatable Trait           | Trait-Identification Marker   | Prevalence (Range) | Treatment Description  | Prognostic Implications   | Author (Year)  |
|-------------------------|---------------------------|---|--------------------|--|---|--|
| [34]<br>COPD            | Vitamin D                 | Serum 25-hydroxycholecalciferol levels  | -                  | Vitamin D supplementation: ↓ risk of respiratory tract infection.  | VDD was associated with ↓ FEV1 at baseline and faster decline in FEV1                                 | Llano (2020) [12]  |
| Rhinitis/rhinosinusitis | Airway/nasal inflammation | Nasal cytology; nasal polyps biopsy   | -                  | Corticosteroids, biologicals   | -   | Heffler (2019) [33]  |
| United Airways Dz       | Eosinophilia              | Blood/sputum eosinophilia, blood periostin, high FeNO, absent specific IgE, non-reactive skin prick tests | -                  | Corticosteroids, anti-IL-5, IL-4, IL-13, anti-TSLP, CRTH2 antagonist   | -   | Yii (2018) [34]  |
| United Airways Dz       | Environmental             | Total IgE, skin prick tests Peak flow monitoring Specific   | -                  | Exposure avoidance, respiratory  | -   | Yii (2018) [34]  |
| Condition               | Treatable Trait           | Trait-Identification Marker   | Prevalence (Range) | Treatment Description  | Prognostic Implications   | Author (Year)  |
| Asthma                  | Adherence and technique   | Adherence check Adherence rating scales   | 44.0% (26.9–61.8%) | Self-management education and WAP<br>Treatment changed when possible to minimise devices<br>Inhaler technique skills<br>Self-management education with adherence-aiding strategies | Inhaler-device polypharmacy is one of the best predictors of exacerbation risk<br>↑ exacerbation risk | Connolly (2018) [5]<br>Simpson (2018) [6]<br>Hiles (2020) [3]<br>McDonald (2019) [9] |
| Asthma                  | Smoking/ex-smoker         | Medical history of smoking or exhaled CO ≥ 10 ppm   | 14.3% (13.9–14.5%) | Counseling and NRT or varenicline, bupropion   | -   | Connolly (2018) [5]<br>Simpson (2018) [6]<br>Hiles (2020) [3]<br>Milne (2020) [35]   |
| Chronic airway disease  | Adherence and technique   | Adherence check Adherence rating scales   | -                  | Understanding reason for non-adherence, directing education of adherence-  | Suboptimal inhaler technique and inhaler device polypharmacy associated with ↑                        | Llano (2020) [12]<br>McDonald (2019) [25]  |

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anti-IgE  
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table 3).  
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muscarinic

| Condition              | Treatable Trait         | Trait-Identification Marker  | Prevalence (Range) | Treatment Description  | Prognostic Implications   | Author (Year)   |
|------------------------|-------------------------|--|--------------------|--|---|---|
|                        |                         |  |                    | aiding strategies accordingly: Good adherence associated with ↓ severe exacerbations of asthma and COPD  | healthcare utilisation  |   |
| Chronic airway disease | Smoking/ex-smoker       | Medical history of smoking or exhaled CO ≥ 10 ppm  | -                  | Counseling and NRT or varenicline, bupropion: Cessation ↓ lung function decline and future risk of exacerbations   | Smoking is a risk factor for exacerbation   | Llano (2020) <a href="#">[12]</a><br>McDonald (2019) <a href="#">[25]</a> |
| Chronic Airway Disease | Social issues           | Interview  | -                  | Activate support services  | Poor family and social support and deprived socioeconomic status associated with ↑ symptom deterioration and exacerbation | McDonald (2019) <a href="#">[25]</a>                                      |
| COPD                   | Adherence and technique | Does not possess a WAP or does not use WAP during exacerbations<br>Test of adherence to inhalers | 55.6%              | Self-management education and WAP<br>Treatment changed when possible to minimise devices<br>Inhaler technique skills<br>Self-management education with adherence-aiding strategies | -   | Hiles (2020) <a href="#">[3]</a><br>Llano (2020) <a href="#">[12]</a>     |
| COPD                   | Smoking/ex-smoker       | Medical history of smoking or <a href="#">[3]</a>  | 19.4%              | Counseling and NRT or  | -   | Hiles (2020) <a href="#">[3]</a>  |

14.3% in f exhaled carbon monoxide and was shown to be a significant risk factor for exacerbation in chronic airway diseases [\[25\]](#). Smoking cessation was shown to reduce lung-function decline and risk of recurrent exacerbations, highlighting the importance of opportunistic implementation of smoking cessation strategies during acute exacerbations [\[25\]](#).

| Condition | Treatable Trait | Trait-Identification Marker | Prevalence (Range) | Treatment Description  | Prognostic Implications | Author (Year)     |
|-----------|-----------------|-----------------------------|--------------------|------------------------|-------------------------|-------------------|
|           |                 | exhaled CO $\geq$ 10 ppm    |                    | varenicline, bupropion |                         | Llano (2020) [12] |

symptomatic deterioration and exacerbations, with social support services proposed as a possible treatment option [45]. Abbreviations: CO, carbon monoxide; COPD, chronic obstructive pulmonary disease; NRT, nicotine replacement therapy; WAP, written action plan;  $\uparrow$ , increased/improved;  $\downarrow$ , decreased/reduced.

## 2.4. Microbiological Traits

Microbiological treatable traits were mostly found in asthma, bronchiectasis, COPD, and united (combined upper and lower) airway diseases. The most prevalent trait was chronic respiratory infection in both asthma and COPD, with an average prevalence of 45.0% and a prevalence range of 34.8–47.3% among the studies on asthma [3][36][6]. Various treatment options, such as antibiotics, mucolytics, roflumilast, education, and inhaled interferon- $\beta$  treatment, were suggested [1][5][3]. However, evidence was lacking regarding their efficacy. In patients with COPD, chronic respiratory infection was present in 55.6% of the patients [3], with prognostic implications on their quality of life and dyspnoea severity [31]. Macrolides have been shown to decrease hospital admissions resulting from exacerbations, but their usage must be balanced against the risk of colonisation with macrolide-resistant organisms [31].

Another prominent microbiological trait is microbial colonisation, present in an average of 18.9% of asthmatics, with a range varying from 12.7 to 55.6% [5][3]. Microbial colonisation was present in an average of 44.8% of COPD patients, with prevalence ranging from 38.9 to 45% [3][30]. This is a separate trait from infection, as colonisation refers to the presence of organisms, while infection refers to the presence of signs and symptoms due to these organisms. Microbial colonisation nonetheless had prognostic significance, demonstrated particularly in COPD patients, with implications on quality of life and increment in dyspnoea [30]. Treatment options for this trait in patients with asthma include education and antibiotic-based written action plans (e.g., using macrolides) [3][8]. However, information regarding treatment options for this trait was inadequate in COPD. Interestingly, patients with microbial colonisation had lower mortality for exacerbations associated with viral infections compared to bacterial infections, though the clinical significance of this is uncertain [30].

## 2.5. Comorbidity Traits

Impaired physical function is a comorbidity seen in almost all chronic respiratory conditions, with a prevalence of 36.1% in COPD and 10.9% in asthma [3]. Impaired physical function can present in different forms, such as low appendicular skeletal muscle mass, limitations in mobility, and low muscle strength and has been shown to be an independent predictor of hospital admission and mortality in COPD. Patients with lower 6 min walking distance were also reported to have higher readmission risk [25]. Various management measures targeted at physical function can be implemented. For instance, Hiles et al. [3] recommended a high-protein diet, strength training, and regular pulmonary rehabilitation for patients with low appendicular skeletal muscle mass.

Another prevalent comorbidity trait is the presence of psychiatric conditions, particularly depression and anxiety in asthma and COPD. Treatments included counselling, cognitive behavioural therapy, and paroxetine [3][31].

Nutrition (underweight) is another common comorbidity, with a prevalence of 52.8% in COPD [3] and 38.1% in asthma, with a range of 35.1–58.2% [3]. These traits had similar prognostic implications, with decreased quality of life, increased severity of symptoms, and increased exacerbations [31][9][7][37]. Treatments to normalise body mass index, such as supplementation and weight loss, have also been shown to decrease asthma severity [7]. However, treatment benefits for COPD were not described in the literature.

Some of the other comorbidity traits with high prevalence include systemic inflammation, which was present in 56.4% of asthmatics and 63.9% of COPD patients, and sleep disorders, with a prevalence of 60.0% and 30.6% in patients with asthma and COPD, respectively [3]. For the mitigation of systemic inflammation, McDonald et al. showed that statin therapy improved C-reactive protein levels in COPD patients [2]. Separately, positive airway pressure has been shown to reduce overall mortality and exacerbation risk in COPD patients with sleep disorders, though its efficacy may be limited by patient adherence [31].

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